Updates in diagnostic evaluation and management of Normal pressure hydrocephalus

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Introduction

In the three cases of Normal pressure hydrocephalus (NPH) described by Adams and Hakim in the sixties 1, two secondary after head trauma and one idiopathic, all were clinically improved by the removal of cerebrospinal fluid. 40 years after the initial findings, it is beyond question, that NPH remains an important treatable syndrome of dementia and of gait disorder associated with an enlargement of the cerebral ventricles, though, in the eighties, the enthusiasm for treating NPH disappeared: Responses to cerebrospinal fluid (CSF) diversion by shunt treatment appeared to be highly variable and unpredictable, while patients were facing a considerable risk of shunt treatment and high rates of transient or residual morbidity related surgical complications. Moreover, only a limited treatment effect was observed affected by much co-morbidity occurring in both idiopathic and secondary NPH 2.

Over the years, so called "diagnostic tests" were introduced to improve decision making for shunt treatment, thereby identifying those patients likely beeing a "CSF responsive NPH". Traditionally, those tests consisted on the detection of a CSF circulatory failure by invasive procedures, such as measurement of resistance to CSF outflow (Rout) and/or intracranial pressure-volume index 3 4 5 6 7, analysis of intracranial pressure oscillations ("B-waves") 8. Or they were based on a temporary CSF diversion, such as high-volume CSF-tap test 9 10 11 and the controlled continuous lumbar drainage (CCLD) 12 13.

Measurement of cerebral blood flow function 14 and of CSF- and brain-metabolism and metabolic products 15 16 17 18 19 20, though they were encouraging with regard to a more comprehensive understanding of NPH mechanisms, i.e. impairment of local cerebral blood flow in both periventricular and distinct cortical areas and certain changes at the neuronal transmitter level, are presently not considered a clinical routine tool.

Both the more traditional and non-traditional methods have failed to contribute to an accurate diagnosis and to reliably predict outcome after shunting. As a result, there are no standard criteria for the diagnosis and clinical evaluation of Normal pressure hydrocephalus. Likewise, in treatment and postoperative management, multiple divergent opinions and only little consensus exist.
The present article should address important aspects of the current understanding in the diagnostic evaluation and management of the NPH syndrome. However, pointing on the fact that still no "state of the art" exists, it’s potential reasons will be discussed outlining but some of the major drawbacks in NPH diagnosis and management. In this regard, advantages and potential future applications of modern neuroimaging techniques will be presented, based on the author’s and others’ clinical and experimental studies of cerebral blood flow, cerebrovascular reserve capacity and of brain function in NPH and in hydrocephalus. In the synthesis of this article, current initiatives providing perspectives for an improved management of the NPH patient, the evidence-based NPH practice guidelines and the European multicenter study on prediction of outcome in idiopathic NPH, are introduced.

While no clear figures on the incidence of both idiopathic and secondary NPH exist, in many services patient numbers presently seem to increase, however, not only due to a better standard of care and an increased longevity, but also patients are now seeking an improved quality of life. Though, among clinicians, there is an ongoing battle between those, which think that NPH is just a conglomerate of diseases with a lot of co-morbidity and not worthwhile treating, and those who still believe that a shunt can cure. Fortunately, most of us believe that adequate selection of patients may bring about great alleviation of NPH related symptoms, and, if undetected, NPH may cause significant social disability, e.g. in the elderly population.

**NPH Diagnosis**

The association between non-obstructive ventricular enlargement and the triad of gait, cognitive and urinary disturbances have been observed in many clinical studies over the past decades 21 22 23 24 25 26 27. Thus, identification of ventriculomegaly by neuroimaging and findings of the triad is a mainstay of establishing the diagnosis of NPH. Patients with ventriculomegaly in the absence of any of the symptoms associated with the disorder are generally not diagnosed of having NPH and, vice versa, patients without evidence of ventriculomegaly even if they present some of the clinical signs and symptoms would be not considered an NPH 21. NPH is classified into secondary NPH, in which intracerebral and subarachnoidal hemorrhage is among the leading causes, followed by posttraumatic, post infectious or brain tumor associated NPH 28 29 30 31 32, and into idiopathic NPH without an identifiable antecedent 33.

As diagnosis of secondary NPH seem to be more straight forward due to the presence of potential causative factors, diagnosing idiopathic NPH remains a difficult task as the differential diagnosis includes the wide spectrum of the classic forms of neurodegenerative diseases, in particular vascular dementia 34 35, M. Alzheimer 36 37 38 39, M. Parkinson 40 41 42 43, less frequently Lewy body disease, ALS, progressive supranuclear palsy and others. Furthermore, in this group, age-related orthopedic problems, degenerative changes of the spine, metabolic secondary neuropathies, prostatism in men and gynecological problems in women may variably confound the diagnosis of the symptoms related to the NPH syndrome.
The Clinical Triad

Ojemann and Fisher 44 were among the first to emphasize the gait disturbance as a primary clinical manifestation of NPH. The Gait-profile has been described as an "abasia-astasia"- syndrome due to the frequently observed abnormal standing base, difficulty ascending or descending stairs and/or rising from a chair and, as the disease progresses, turning in place becomes quite difficult and typically requires multiple steps 45 46. Others have stressed on the importance of problems of posture and balance in NPH as after shunting most marked improvements in the postural than in the remaining motor functions have been observed 47. In a study of postural function in 52 patients with normal pressure hydrocephalus, compared to the healthy control, the NPH patients had a larger sway area, however, the direction of the inclination in the sagittal plane was neutral or forward in the NPH patients (identical to patients with SAE) while it was backward in the controls 48.

Generally, investigators most readily look at motor and gait difficulties, e.g. using computer aided video-analysis, which has not only shown valuable in the clinical evaluation of NPH, however, has furthermore helped to identify some "typical" criteria of gait disturbances in NPH: A reduced cadence, decreased step height, reduced counter rotation of the shoulders relative to the pelvis during ambulation, an upward angular movement of the foot during stepping and a diminished stride length was observed 49. Compared to healthy controls, patients with NPH walked with more variable strides, while the step width and foot rotation angles showed less step-to-step variability 50. Interestingly, following a diagnostic spinal tap, only the stride length has improved 42 50. It would be valuable, however, to relate the changes before and after a tap to gait features before and after shunting in order to determine the potential value of different gait findings for predicting clinical improvement after shunting 41.

Gait and postural disturbances, however, are not the only finding of movement disorders in NPH 40 43 47: a more general involvement of the motor system including disturbances of the upper limb kinetics was evidenced by an optoelectronic movement analysis of the postural, loco motor and manual (PLM) performance 43 done before intervention, after CSF tap test and 3 months post CSF shunt. Comparison was made to data from 10 age matched normal subjects and 10 patients with Parkinson's disease (PD). Shunt operation of the NPH patients and l-dopa treatment of the Parkinson patients improved the PLM performance to a comparable degree, however, the NPH patients improved the speed in the PLM test after the operation, while the PD patients additionally improved the co-ordination after l-dopa treatment. This is not the only indication of an association between NPH and Parkinson's symptoms in humans as Parkinson-like symptoms were reported in 118 patients of different types of chronic hydrocephalus40: 75% of the patients had additional akinetic, tremulous, hypertonic and/or hyperkinetic movement disorders. Their prevalence was highest in patients with idiopathic normal pressure hydrocephalus (56/65 patients, 86%) and, supporting the suggestion of a more generalized motor disturbance in NPH, the most frequent movement disorder was upper extremity bradykinesia, which responded favorably to CSF diversion in 80% of a subset of this group.
Focal signs, pyramidal tract signs, spasticity and abnormal reflexes are seldom reported, however, may be either suggestive of an advanced stage of the disease or reflect a co-morbid condition, and have shown to be associated with a poorer outcome of shunt treatment 34 51.

Electromyography and motor-evoked potentials 52 53 have contributed to an understanding of the potential origin of motor disturbances suggesting that the walking and motor dysfunction in hydrocephalus are rather extrapyramidal disturbances than a result of a major pyramidal tract involvement. The studies of motor-evoked potentials 52 and the above reported study of postural function 48 in NPH patients both have indicated an involvement of the sensorimotor integration leading to normal gait by a misinterpretation of afferent visual stimuli in the brainstem postural centre. In addition, the Rhomberg quotient in NPH was recently found to be lower than in patients with subcortical encephalopathy or in controls, thereby indicating that the visual information cannot or only mildly improve posture and motor coordination in NPH 54. This is controversial in Parkinson’s disease where external visual cues were highly effective in raising the stride length and cadence 42 suggesting different mechanisms behind the motor performances in NPH and Parkinson’s disease 43.

Based on the findings, the gait disorder in normal pressure hydrocephalus is suggested to reflect a subcortical motor control disorder affecting the substantia nigra and the basal ganglia output connection pathways to structures in the frontal lobes, brought about by abnormal pulsatile CSF flow while fibers are passing in proximity of the lateral ventricles 42 49.

In contrast, the incontinence and/or urinary dysfunction is no longer regarded a specific symptom due to a considerable co morbidity in the elderly, though, it is only observed in about 43% of patients 30. Although urodynamic measures have found "hyperactivity" and "contractibility" with low volumes in patients, i.e. a "neurogenic bladder", they have not contributed to differential diagnosis of incontinence in NPH 55. While urgency has been observed more frequently in early stages of the disease, gait disturbances and frontal indifferences may lead the disturbances of incontinence at later stages of the disease.

The cognitive profile of NPH patients has been described as a deficit in attention, a slowing of thought process, apathy, inertia, loss of motivation associated with memory disorders. However, compared to the gait, there seem to be the highest variability in cognitive disturbances before and also in their changes after shunting 23 26 25 56 57 58 59. Furthermore, psychiatric problems such as hostility, aggressiveness and depression were frequently reported in patients before shunting and also in patients, which responded favorably to shunting 57 60. The appearance of depression in patients with NPH could not only be a consequence of the underlying brain disease, however, could also arise in response to the physical and mental disabilities associated with NPH and to the psychomotor retardation commonly seen.

In early studies, cognitive symptoms have been emphasized as the primary clinical manifestation of NPH 61, however, questions about the prevalence and the evolution of cognitive symptoms in the NPH syndrome relative to the gait and motor signs still remain unanswered unless this has never been tested formally, i.e. using neuropsychological testing 57. Giving the impression of a variable
improvement, cognitive symptoms can be subtle and also changes after shunting may go undetected, however, may not have been reliably assessed without the aid of psychometric measures. A fact yet not has been paid much attention to by clinicians, is that a certain “profile” of cognitive symptoms has also been detected using the various neuropsychological tests.

Overall, verbal performance was better than non-verbal performance showing an impairment of attention, reaction time, executive function, visuospatial performance, object memory and perception and visuoconstructive function. Authors suggest that this may point to a bilateral kortiko-frontal lobe defect, however, the origin and pathophysiology of NPH dementia clearly needs further understanding, and so far, no consensus has been reached by the various neuropsychological studies with regard to the “neuroanatomical” localization of the cognitive deficit in NPH.

Table 1 provides an overview of prospective evaluations of changes in neuropsychological function after shunting. The psychometric tests used in these studies considerably varied, making it impossible to conclude, which test might provide a means of monitoring the cognitive outcome in Normal pressure hydrocephalus, however, what presently seem more important, that already within the first 2-3 months after treatment, most of the above mentioned psychometric signs have shown a good or favorable response.

With regard to the prediction of shunt outcome, verbal signs have shown to provide a bad prognostic sign, likewise to the presence of focal neurological symptoms. There is further some evidence that the lack of the Triad, a long-standing Triad and the predominance of cognitive disturbances arising before the gait disorder may be related to a poorer prognosis after shunt treatment, however, none of the different clinical signs and symptoms has ever been successfully related to clinical improvement after shunt treatment in individual patients.

<table>
<thead>
<tr>
<th>Author, year</th>
<th>Patient number</th>
<th>Study-design</th>
<th>Follow-up period</th>
<th>Psychometric improvement after shunting (overall rate)</th>
<th>Correlation with clinical/functional outcome after treatment</th>
<th>Quality of psychometric improvement after treatment</th>
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<tbody>
<tr>
<td>Iddon et al. 1999</td>
<td>11 NPH mixed</td>
<td>prospective, not consecutive, Alzheimer and healthy controls</td>
<td>6 months</td>
<td>patients performed in the dementing rate, all were scoring within the normal non-demented range after shunting</td>
<td>not specified</td>
<td>significant improvement in attentional deficits and perceptual performance</td>
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<tr>
<td>Wikkelso et al. 1982</td>
<td>21 NPH mixed</td>
<td>prospective, consecutive, 16 demented controls</td>
<td>3 months</td>
<td>variable</td>
<td>not specified</td>
<td>major improvement of visuoperceptual functions after</td>
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<tr>
<td>Study</td>
<td>NPH</td>
<td>Design</td>
<td>Follow-up</td>
<td>Testable</td>
<td>Result</td>
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<tr>
<td>Gustafson et al. 1978</td>
<td>23</td>
<td>mixed, not consecutive, Alzheimer controls</td>
<td>(10 days), 6 months</td>
<td>50% 22% of patients not fully testable before surgery</td>
<td>significant improvement in object memory and spatioperceptual performance</td>
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<tr>
<td>Raftopolous et al. 1994</td>
<td>23</td>
<td>idiopathic, not consecutive</td>
<td>2 months, 1 year</td>
<td>66% 20% of patients not fully testable before surgery</td>
<td>positive correlation with Black functional outcome score (96% improvement in Black score)</td>
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<tr>
<td>Malm et al. 1995</td>
<td>35</td>
<td>idiopathic, consecutive</td>
<td>3 months</td>
<td>30-40%</td>
<td>no correlation with Barthels-Index and/or with improvement of gait</td>
<td></td>
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<tr>
<td>Thomsen et al. 1986</td>
<td>40</td>
<td>mixed, consecutive</td>
<td>12 months</td>
<td>40-50% only 50% of patients testable before surgery</td>
<td>positive correlation with Stein&amp;Langfitt-functional score (73% improvement in S&amp;L)</td>
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<tr>
<td>Boon et al. 1997,1998</td>
<td>101</td>
<td>mixed, consecutive</td>
<td>1,3,6,9,12 months</td>
<td>not specified</td>
<td>correlation with the Rankin-Scale and with improvement of gait</td>
<td></td>
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<tr>
<td>Larsson et al. 1991</td>
<td>74</td>
<td>mixed, consecutive</td>
<td>3, 12 months</td>
<td>73%</td>
<td>significant improvement in perceptual speed, reaction time and object recall</td>
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Table 1
**Neuroimaging**

Neuroimaging (CCT and MRI) should identify ventriculomegaly and rule out other pathology. The Evan's Index, which is determined by the largest diameter of the frontal horns divided by the diameter of the internal skull at the level of the frontal horns, if above 0.3 indicates ventriculomegaly, may possibly be the only finding obligatory for establishing the diagnosis of NPH. All the other imaging findings, i.e. large temporal horns, dilated third ventricle, enlarged perisylvian fissures or both focal dilation and obliteration of cortical sulci, cortical and subcortical atrophy and the "so-called" periventricular edema have never been consistently reported in larger patients series 3 68 69 70 71 72, apart from the fact that none of these studies have evidenced a positive relation of any of the imaging criteria with clinical improvement. Some of the findings have been suggested to relate to NPH pathophysiology by comparison made in the pre-post shunting CT’s, e.g. focal sulcal dilation, which has been “misinterpreted” as cortical atrophy in the previous times and therefore even been used as a criterion to exclude patients from undergoing a shunting procedure 71 73. In a study of five cases of patients who presented with focal dilation of cortical fissures and sulci, in three of the cases, there was a paradoxical decrease in the size of the dilated fissures and sulci that paralleled the decrease in the size of the lateral ventricles following successful shunting. The authors concluded that focal fissural and sulcal dilation may represent “atypical” reservoirs of cerebrospinal fluid analogous to the ventricular system 71.

Nevertheless, CT with regard to ventricular width and the “so called” periventricular edema has shown a variable change after shunting 74 and was not supposed to play any role for the clinical outcome, particularly in idiopathic NPH 69 72 75. Reduced compliance seemed to be the best predictor of rapid and marked reduction in ventricular size 76, while others suggested that reduction of ventricular size following CSF shunting is not exclusively related to CSF dynamics and more likely depending upon the intrinsic elastic properties of the cerebral parenchyma, which vary with age and/or co-existing pathologies in the brain 74 77 78. Others argue that unless not assessed volumetrically, changes of the ventricles may go undetected questioning any statement about the significance of ventricular changes after shunting observed in NPH patients 73 79 80.

With the advantage of a more detailed anatomy provided by MRI analysis of the brain, some more findings could have been associated with NPH 81, i.e. increased aqueductal flow void and/or stroke volume indicating a disturbed and “hyperdynamic” CSF flow 82 83 84 85, increased callosal angle, preserved hippocampal fissures and hippocampal volume different from Alzheimer’s disease 86 87 and, last but not least periventricular and deep white-matter lesions, the so-called PWMLs and DWMLs 88 89 90.

Very few results are promising with regard to both the diagnostic evaluation of NPH and the prediction of shunt response, e.g. MR-based quantitative phase-contrast cerebrospinal fluid velocity imaging of the aqueductal flow volume, which has indicated a significant relationship between CSF stroke volume greater than 42 microL and a favorable response to VP shunting 91. Furthermore, in a large study of aqueductal flow in 236 individuals including normal elderly, patients with different types of dementia and patients with the clinical diagnosis
of idiopathic NPH, CSF flow of greater than 18 ml/min was suggestive of idiopathic NPH 92.

Finally, the periventricular (PWMLs) and deep white matter lesions (DWMLs) observed in T2-weighted MRI have been clearly associated to cerebrovascular co-morbidity with systemic hypertension as a main contributing factor in patients with idiopathic NPH 89 93. In a study of Tullberg et al. comparing idiopathic NPH andBinswanger´s disease 93 both groups shared the major part of MRI changes with regard to WMLs, which the authors suggested indicates a common pathophysiological pattern. Also others claim that cerebrovascular risk factors and cerebrovascular disease play a role in the pathogenesis of idiopathic NPH explained by an increased pulse pressure in periventricular and subcortical arteriosclerotic vessels and the subsequent changes in the elastic properties of the periventricular tissue, which may cause enlargement of ventricles in the absence of raised intracranial pressure 35 94 95 96. Contributing to this theory is the high prevalence of systemic hypertension in idiopathic NPH patients of 83% 34 97 . A negative correlation of DWMLs and PWMLs with the outcome in patients was found 88, though, an exclusion of an individual patient based on the finding of the white-matter lesions is not justified as both PWMLs and DWMLs before shunting did not differ between outcome groups in a study by Tullberg et al. 90. In this study and other studies 81 87, no single MR imaging variable could predict the clinical effect of shunt surgery.

Most crucial, however, is the accurate selection of those patients which may benefit from shunting based on both the clinical and imaging findings. In a retrospective analysis of supportive and non-supportive imaging and clinical findings Vanneste et al. 98 were the first, which introduced a definition of NPH classified using the terms "probable", "possible" and "unlikely" based on an ordinal global scale derived from combined clinical and CT data, which predicted the clinical outcome in 112 patients shunted for presumed normal pressure hydrocephalus (NPH). The best strategy was to shunt only patients with "probable shunt-responsive NPH" providing "typical CT and clinical findings" with a positive predictive value was 0.65. Surprisingly, these data were supported by a more recent analysis made by Boon et al. 51, suggesting that best predictive rates based on a combination of “typical” clinical and imaging findings may not exceed 60%.

Practically, however, this has resulted in different strategies of decision making for shunt treatment: A more strict investigator only considering shunting in patients presenting with the complete triad, marked ventriculomegaly and other supportive clinical and imaging findings, and a more liberal investigator, which shunts even in the presence of an incomplete triad, co-morbid conditions and/or unexpected clinical and radiological findings trying to avoid any delay of treatment. The first investigator may probably miss an occasional treatable patient and the second may perform many useless and risky surgical interventions. Neither of the strategies will help improving the quality of our treatment of NPH, however, may rather increase the risk of an upcoming "therapeutic nihilism".
Diagnostic Tests

The so-called "diagnostic-tests" may be more helped with the term "prognostic tests" as they have been set up to improve the prediction of the result of shunting. Thus, they were supposed to support the selection of suitable shunt candidates, particularly in those patients diagnosed with a “questionable” or “possible” NPH providing the majority of our cases, as supported by the observations made in an outpatient multidisciplinary memory clinic.

It is discussed, however, whether an increased Rout and/or the B-waves may be linked to NPH pathophysiology and therefore required for establishing the diagnosis. Early investigations have shown an association of an elevated Rout and of a reduced compliance with cerebrospinal fluid malabsorption and were then identified as an important diagnostic tool. As a fact, however, findings in Rout have neither correlated to the size and visibility of cortical sulci nor to the amount of atrophy or the ventricular width seen in the patients’ CT.

"Diagnostic tests" may be divided into “traditional” and “non-traditional” tests. The traditional tests all reflect the traditional idea about NPH pathophysiology being a primary disorder of cerebrospinal fluid absorption and, as a consequence, require invasive testing in order to identify a defective CSF-flow and a CSF circulation disorder, or they mimic the shunt: Cisternography, long-term ICP measurement, measurement of resistance to CSF outflow (Rout) or compliance, TAP-test and controlled continuous lumbar drainage. In many services the invasive tests are considered a standard investigation, however, only a few tests have been studied prospectively in a manner to allow a reliable determination of their accuracy in predicting the result of shunting. A general observation in most of the tests is a relatively high positive predictive value, while the negative predictive value is disappointingly low.

The cisternography, although initially a mainstay of the diagnostic protocol for NPH, has not improved the diagnostic accuracy of combined clinical and computed tomographic criteria in patients with suspected normal-pressure hydrocephalus. Suggestive for NPH was a stagnation of isotopic activity in the cerebral ventricles for more than 72 hours without any appearance at the convexity, however, similar features were found both in age-matched controls and other non-hydrocephalic conditions. In the absence of experience in using other supplemental tests, clinicians still commonly use cisternography worldwide.

It has been proposed that the increased frequency in B waves (rhythmic oscillations of the CSF-pressure with a wavelength of 0.5 to 2/min) measured either by the lumbar or ventricular route with and without combination
of transcranial ultrasound 108 114 115, is indicative of lowered compliance and may therefore play an important role in the pathophysiology of the ventriculomegaly and neuronal dysfunction 8. If frequent during the overnight measurement, i.e. more 50%, they are thought to be a good predictor of a positive shunt response 64 109. Others found that B-waves poorly predicted outcome 110 116. Still, there is no normative data and no definite understanding of the origin of those waves in NPH. Vascular factors such as vasodilatation and consequent changes in arterial blood pressure were suggested to cause the observed rhythmic changes of ICP 117, however, others have shown that B-wave activity has preceded occurrence of blood pressure changes in patients with Normal pressure hydrocephalus 114. A more recent and quantitative study of the relationship of the vasogenic components of the ICP wave with resistance to CSF outflow has demonstrated that the magnitude of vasogenic waves of ICP both before and their increase during an infusion test positively correlated with Rout, which may finally indicate a vasogenic origin of the CSF circulatory failure in Normal pressure hydrocephalus 118. Even more important is the fact that the occurrence of B-wave activity could be clearly associated with REM-sleep stages100. This was supposed to confound the results of the overnight measurements and clearly doubts on the suggestion that a relative frequency of less than 80% B-wave activity can be a valid indicator for shunt responsiveness. The author’s suggest that ICP recordings in suspected NPH should be accompanied by polysomnography to avoid misleading results due to variability of B-wave appearance dependent on the sleep pattern 100.

The Tap-test is in worldwide use, is most easy to perform and cheap. It has been indicated, that the higher the volume drained (40-50 cc), the more likely the patient is going to improve, i.e. sensitivity and specificity increases as more fluid is tapped 9 10. However, reports vary with regard to its usefulness in selecting best shunt candidates. If definitely positive, it has shown a helpful indicator of shunt improvement and a positive predictive value of more than 70% has been reported 11, however if patients would have been selected based only on a positive Tap-test, a considerable part of shunt-responsive NPH patients would have been missed. In other words, NPH candidates should not be excluded based on a negative spinal tap test. In combination with SPECT measurements of cerebral blood flow before and after the tap-test, studies promise higher predictive rates 119 120: Increase of more than 80% in CBF after CSF removal was predictive of response to shunt surgery with an accuracy of 77% 120.

Controlled continuous lumbar drainage (CCLD) was initially described by Haan and Thomeer 12 and consisted of draining 10 cc of CSF per hour for a period of 72 hours. In Haan´s study 12, values for sensitivity, specificity and PPV and NPV resulted in 100% as all patients with a positive test improved after shunting and vice versa. However, the potential risks and disadvantages (hospitalization and costs) are still not calculated and have to be further assessed. Reported complication rates with ELD are generally considered low 13 113 121, however, from the existing studies so far a 5 - 20% rate of risks can be estimated, i.e. severe nerve root irritations and infections have been described requiring a removal of the external drainage. Still, studies are few and do not provide us with sufficient patient numbers.

Regardless of the methodology used to estimate Ro and/or intracranial compliance 103 111 122 123 124 125 126, it is acknowledged that Ro naturally
increases with age in otherwise healthy individuals. This may be a potential confounding variable that has not been adequately addressed in many of the previous clinical studies. In a prospective study of the Dutch multicenter group including both secondary and idiopathic NPH patients, retrospectively, the authors recommended a Rout value of 18 mmHg/ml/min as the threshold based on an optimal positive predictive value for clinical improvement of 92% and highest likelihood ratio 51 112 127. Such high values of Rout, however, will be rarely observed in most of the patients, moreover, patients show a wide range and a more variable resistance profile, particularly observed in idiopathic NPH. This holds also true for the remaining physiological values and CSF dynamic parameter, such as compliance, elastance and intracranial pressure profiles, which is the evidence of a heterogeneous patient population suggesting that possibly no constant morphological element in the NPH syndrome does exist. It becomes clear to us that the results, methods, and thresholds of the “diagnostic tests” are center specific and are subject to wide variation. In addition to the differences in patient selection and inclusion these are two of many possible reasons why results remain disappointing with regard to the ability and reliability to predict outcome after shunting. Particularly in the “possible” and/or “questionable” NPH patients, the validity of these tests is not known. As a consequence, a "diagnostic puzzle" results from the necessity to include more than on test in a stepwise manner in order to increase the prognostic accuracy. Regardless the reason of advocating such combinations of test procedures in the individual patient, the practicability of performing all the different tests is questioned by many clinicians.

**PET Cerebral Blood Flow and Brain Metabolic Studies**

The non-traditional methods, such as cerebral blood flow measurements and metabolic imaging have not been widely available in the earlier decades. Cerebral blood flow measurement has been performed using XENON-CT, HMPAO-Spect, PET and Doppler investigations. As a common finding, regional CBF measures indicated reduced blood flow in frontal and temporal regions, however, global blood flow turned out to be both decreased or normal in patients. Disappointingly, there was a variable correlation of the preoperative CBF profile and CBF changes after surgery with the clinical outcome, providing another reason why no definite diagnostic value of blood flow measurements has yet been demonstrated.

Using 15-0-H20-PET before and after application of 1g Diamox®, global cerebral blood flow and cerebrovascular reserve capacity have been quantified before, one week and seven month after surgery in 60 patients with idiopathic NPH. In the earlier series, lower global blood flow values were indicative of clinical improvement after shunt treatment. Although a cut-off level has not been stated, lower values before surgery were observed in clinical responders compared to non responders (36±8 vs. 41±11 ml/100 ml/min; p = 0.04) and to the controls (48± 6 ml/100ml/min; p<0.01). Thus, retrospectively, the prognostic accuracy obtained by additional blood flow measurement was increased to 88% compared to an accuracy of 76% obtained only by the combination of clinical, imaging findings and Rout measurement. Furthermore, cerebrovascular
reserve capacity has correlated with clinical changes after shunt placement 137 142: At one week after shunting, shunt responders have shown considerable improvement in reserve capacity and non-responders did not, suggesting that neurological improvement may be related to early restoration of the hemodynamic reserve. Improvement of cerebrovascular reserve capacity was particularly important in those patients with a high cerebrovascular co morbidity and with a high prevalence of cerebrovascular risk factors142 underlining the significance of the pathophysiology of chronic infarction in idiopathic NPH. Moreover, it evidences that shunting may reverse a chronic hypoxic environment existing in the hydrocephalic brain, as also indicated by the findings of others 89 97 143.

Contributing to the role of cerebrovascular disease in idiopathic NPH pathophysiology, a very recent PET study144 using an anatomic region-of-interest analysis on co-registered magnetic resonance done in both secondary and idiopathic NPH demonstrated highest reductions of CBF in the basal ganglia and the thalamus but not in white matter regions, contrary to studies in the past 134 141 145. In addition, CBF in the putamen of idiopathic NPH patients was correlated with a poorer level of functioning. As the lenticulostriate vessels are the main arterial supply to the regions of the thalamus, caudate and putamen, and as these are the vessels most likely at risk to be affected by arteriosclerotic changes, the author’s concluded that the reduction of CBF seen in patients with idiopathic NPH compared to controls may support the role for cerebrovascular disease. Also, the results suggest that the role of the basal ganglia and thalamus may be more prominent than currently appreciated, thereby, they contribute to the above mentioned clinical observations of a subcortical extrapyramidal motor disturbances in NPH 43 53.

Using statistical parametric mapping (SPM 99, Wellcome Department of Cognitive Neurology, London, UK) in the own series of idiopathic NPH significant regional changes at a voxel level were investigated and after transformation into a standard stereotaxic space, a decreased flow in prefrontal, frontomesial and temporomesial cortical areas was found, which positively correlated with the functional impairment using a score 22 that assigns the severity of symptoms based on a formal assessment of gait and mental function. Also, after shunting clinical responders have shown an increase in regional blood flow in some of these areas in contrast to the non-responders suggesting an importance of local metabolic disturbances in the NPH brain (Berding G, Klinge P, Brooks DJ, et al. Cerebral blood flow before and after ventriculoperitoneal shunting in normal pressure hydrocephalus; submitted for publication).

Preliminary 1H- and 31P- MRI Spectroscopy investigations in NPH patients by Braun et al.146 have also evidenced patterns of disturbances in brain and neuronal metabolism by demonstrating both reversible and irreversible neuronal damage: lactate peaks in periventricular areas and reduced NAA/tCr ratios in the cortex different from controls and other forms of dementia have indicated a reduced, however, a reversible deterioration of neuronal metabolism in NPH patients 20. Likewise, very recent studies in micro dialysis by Agren-Wilson et al. 147 have found a situation of postischaemic recovery in patients with NPH. They investigated PtO(2), glucose, lactate, pyruvate, and glutamate in the periventricular white matter and in the frontal brain before and after drainage
and found a significant rise in lactate and pyruvate trend towards a lowering of glucose and glutamate after removal of CSF.

In the light of these observations, the findings in CSF contents of neuronal metabolites and peptides, neurotransmitters and of products reflecting neuronal degeneration may underline the potential advantage of investigating neuronal and brain metabolism being a more effective diagnostic marker of NPH than CSF dynamic testing. Analyzing metabolic markers of neuronal and axonal degeneration in the CSF of patients with idiopathic NPH, i.e. sulfatide, neurofilament, neuron specific enolase and Tau-proteins, the authors found a negative correlation of clinical improvement after shunt treatment with the concentration of some of the markers: In patients with sulfatide levels higher than 400 nmol/l clinical improvement was unlikely. Moreover, the CSF sulfatide concentrations distinguished between patients with vascular dementia and those with idiopathic NPH with a sensitivity of 0.47 and a specificity of 0.94.

Thus, assess to the CSF metabolic products and to modern non-invasive functional neuroimaging techniques, as they may detail structural and functional profile of neuronal impairment, may give rise to both, a more comprehensive diagnostic approach to the “brain disease” in NPH and to an understanding of the origin of symptoms in the hydrocephalic brain (“locus of dysfunction”).

Functional and brain metabolic imaging using both positron emission tomography and single photon emission computed tomography has already been widely applied to the study of other dementing diseases and has contributed to both differential diagnosis and the quantification of treatment effects on disturbed metabolism. As the diseases progressed, metabolism was affected in certain cortical and subcortical areas, which showed a different profile among the various dementia types, e.g. frontopolar and temporal in Alzheimer's disease or bitemporal in Creutzfeld's disease, however, may encourage subsequent studies in NPH.

In experimental hydrocephalus, however, findings have already evidenced a more complex impairment of neuronal metabolism and neuronal transmitter function indicating a role for chronic ischemia. In a recent study of kaolin-hydrocephalus, authors have described a prolonged moderate ischemia assessed by autoradiographic studies that might have caused a delayed neuronal injury in vulnerable brain regions, e.g. the hippocampus. Others have indicated that in the chronic hydrocephalic state cerebrovascular adaptive processes, e.g. angiogenesis and neuronal plasticity, might play a more important role for the disease process than ventricular enlargement and cerebrospinal fluid dynamics. It is clear, that the physics about the relationship of intracranial pressure, impaired CSF-circulation, blood flow and neuronal dysfunction in the normal and hydrocephalic brain need further investigations.
Apart from the significance of cerebrovascular processes for neuronal dysfunction in the hydrocephalic brain, lately, an age-related impairment of the CSF-turnover has been suggested to decrease the clearance or wash-out of potentially toxic products of brain metabolism, e.g. the beta amyloid, from brain interstitial fluid. Thus, it may predispose amyloid accumulation, plaque aggregation and the amyloid-associated neurotoxicities. This was suggested to be critical to the onset and progression of both Alzheimer’s disease and of dementia in Normal pressure hydrocephalus as an impaired CSF-production rate and CSF-turnover has been found among both Alzheimer's and NPH patients. This might be but one of the most exciting explanations for the recent observations of a frequent coincidence of Alzheimer's-type neuropathology among patients with idiopathic NPH.

**NPH Management and Outcome Assessment**

Some advocate that a positive response to shunt treatment might be the diagnostic gold standard and favor the term “shunt-proven” NPH. This, however, would lead to a number of incorrect classifications as, e.g. false negatives would occur when patients have an absent or diminished response to shunt placement due to co-existing conditions, unpredictable effects of the underlying disease in secondary NPH, post-operative complications, inadequate treatment or mechanical failures of the shunt. Furthermore, the clinical improvement to shunt treatment considerably varies: Across studies, overall improvement rates to shunt treatment were ranging between bounds of 30% to 96% 22 24 33 64 169 170 171 172, however, are mainly reported as a matter of treatment associated shunt complications, the selection of the valve-type and shunting procedures, or of the preoperative selection of shunt-candidates.

An issue, which has not been very well addressed in the past, is the fact, that the postoperative management still lacks protocols for patients follow-up and the assessment of clinical outcome and clinical improvement. As long as there is no clear definition for, how long and how often a patient must be observed and, which criteria are applied in order to assess the shunt success, the definite value of shunting NPH may never be clarified. In the literature, clinical outcome is reported within different time periods of up to 60 months or even within a range of follow-up 10 173 174, however, outcome figures given are based on these variable observation times, while long-term and short-term outcome are mixed-up. It is known from studies of long-term outcome in idiopathic NPH, for example, that particularly in that elderly age group it is the co-morbid factors, e.g. ischemic brain or heart diseases, that do affect the long-term outcome, and clinical deterioration occurs unrelated to the shunt 33. Malm et al 175 have shown a decreased overall functional outcome from 64% to 26% when comparing outcomes at three months with those at three years. In that study, survival curves were compared with those of age-matched healthy elderly subjects and patients with first-ever ischemic stroke and the relative risk of death among idiopathic NPH patients compared to a general elderly population was 3.3. Savolainen et al. 174 reported a decrease of clinical improvement over a period of five years when compared with one year. In a study by Larsson et al. 67 deterioration already occurred at 12 months.
Similarly, the assessment of clinical improvement lacks a unique figure, and criteria for improvement are generally based on a subjective grading made according to the investigators clinical judgment. By some authors, scales were introduced 22 24 88 either by rating the degree of cognitive impairment, gait and urinary disturbances before shunting or by grading the magnitude of improvement in each symptom after surgery. Others document clinical improvement and outcome of shunt treatment using functional grades, however, none of this scales has gained a wide acceptance and have shown of advantage for the clinical assessment. Therefore, some authors have used scales from the rehabilitation field, e.g. the Rankin-Scale, the Barthel-Index and the Katz-Index, or other neurological scales, e.g. the MMSE, or the GCOS, however, these have not been validated for the assessment of NPH.

Neuropsychological methods have been used in order to assess the clinical improvement more reliably and to measure improvement of function after shunt treatment 10 64 67 172. It has been shown by the various investigators, that there is considerable improvement in neuropsychological function, which has correlated with an improvement in the activities of daily living and function 9 59 64 172 . Raftopoulos et al. 64 , for example, have shown a 66% improvement of psychometric function, which contributed to an improvement in the Black functional outcome scale 24 of 96% - Table 1. Thereby, neuropsychological measures may provide early and reliable measures of functional outcome in NPH in addition to gait. In follow-up examination, these tests may offer a practical and standardized tool to monitor patient status, to reset adjustable valves 176 and to compare results from different centers.

However, the majority of test procedures require expertise and are usually time consuming, particularly in the more disabled patients 58 59 64. This may be but one of the main reasons why neuropsychological measures have not found integration in the neurosurgical and clinical routine. Moreover, it has been reported that nearly 50% of the patients have been not testable with the applied battery, which makes a proper assessment again difficult 59 – Table 1. Clinicians therefore tend to rely on the more simple measurement of gait function only. However, assessment of gait function alone might not contribute sufficiently to monitoring of the patients' functional outcome after shunt placement.

More simple tests are needed 177, i.e. a set of tests or a test-battery, that are assessable in a larger cohort of patients, that are simple and that can be easily applied as bedside tests to define the degree of dementia pre- and postoperatively. Efforts should be made to assess the reliability of these tests for assessing functional improvement to shunt treatment in NPH, e.g. in a preliminary study of psychometric functions before and early after shunt treatment, early changes in a simple “pencil-paper” test measuring visual attention were most sensitive indicating a positive response to shunt treatment 178. The implementation of standard tools for measuring the NPH deficits will be critical if there is to be an "evidenced-based" approach to CSF shunting NPH.

Finally, the management of Non-responders has virtually not been addressed in the literature. Still, it is not clear, whether there is ineffectiveness of a shunt (blockage or under drainage), selection of a bad candidate or irreversible damage, which has occurred in a patient. Presently, these questions cannot be answered properly as long as follow-up studies have not been systematically
performed (Petra Klinge, M.D., Anthony Marmarou, Ph.D., Marvin Bergsneider, M.D., Norman Relkin, M.D., Ph.D., Peter Black, M.D., Ph.D. Outcome of shunting in Idiopathic Normal Pressure Hydrocephalus (iNPH) and the value of Outcome assessment in shunted patients; submitted for publication).

In a recent review by Hebb and Cusimano179, which have first systematically analyzed the world literature, it was stated that there is clearly a lack of well-designed prospective clinical studies that analyze sufficient patient numbers in a standardized fashion in many fields of NPH diagnosis, management and treatment.

**Recent Efforts and Future Perspectives**

In September 2000, a group of scientists chaired by Anthony Marmarou, MCV Neurosciences Center, Richmond Virginia, and co-chaired by Peter Black, Brigham& Women's Hospital, Boston was assembled to determine the feasibility of developing standardized guidelines for current clinical practice based on the available scientific evidence. The so-called “NPH-advisory board” presented its first results during an NPH consensus conference in San Diego, CA, February 2001, and discussed with a small group of NPH consultants from the U.S. and Europe. The consensus and the synthesis of literature evidence were in the following period presented at several international meetings, e.g. during the international Hydrocephalus Workshop in KOS, May 2001, and to a group of European NPH experts and scientists in Hannover in November 2001. The available literature was traced back to 1965 and studies were classified into classes of evidence based on the methods used for the AANS Head Injury Guidelines (J Neurotrauma, 17 Number 6/7 June/July 2000): Class I, prospective randomized controlled trials, the "gold standard" of clinical trials, Class II, prospective studies with retrospective data analysis, and Class III, purely retrospective studies. Based on this classification, recommendations for diagnosis and management of NPH were made subdivided into Standards, Guidelines and Options. The initial guidelines refer to diagnosis and management of the idiopathic NPH (iNPH) and therefore, the search was limited to papers that describe the results selectively for iNPH. The guidelines were finalized and submitted for publication recently. As a key result of the guidelines, no Class I study in iNPH existed, subsequently, absolutely no accepted standard for diagnosis, treatment and management may exist. There was clearly a lack of both well-designed prospective and retrospective clinical studies in idiopathic NPH. A problem making it impossible to extract certain data for idiopathic NPH was based on the fact that studies were mixed with regard to idiopathic and secondary NPH, and were mostly, if not in almost all of the available studies, not segregating out the figures and the results for the both groups.

One of the projects, which has evolved from the guideline initiative, is the upcoming prospective European multicenter study on the prediction of outcome in patients idiopathic NPH. This initiative is currently shared by different centers from 10 to 11 European countries. In May 2002, during the Hydrocephalus workshop held in Lund, Sweden, a group of European NPH experts was assembled for a first meeting on the feasibility of a European NPH multicenter study. During the first meeting, there was agreement that the study should be set out to investigate the predictive value of different diagnostic tests and a formal agreement was reached, that all patients included in the study should receive a shunt irrespective of the test results and should be included into the
study solely based on clinical and radiological criteria. An executive committee was assembled to proceed with formulating and proposing a study protocol. At least 200 patients should be evaluated during a 2 years period on a prospective basis, and all included patients are planned to be operated by a VP shunt using the same valve system. Follow-up will be done using primary and secondary outcome measures applied at 1,3 and 12 months after surgery in a standardized manner, and outcome results will be correlated with the preoperative test variables in order to calculate their predictive rates. The obligatory diagnostic tests consist of the CSF tap-test, resistance to outflow (Route) and different CSF metabolic markers, which are assessed in a combined infusion and drainage test. Optional test, i.e. PET or SPECT, MRI investigations, B-wave analysis and continuous lumbar drainage are supposed to be included upon agreement between different centers. Anonymized data will be electronically registered in a central web-based database, which will be presented through a world-wide-web application over the internet, protected by a username/password based authentication mechanism.

Epilogue

The present article has not intended to present a detailed and systematic analysis of literature, therefore, not every aspect and contribution regarding NPH diagnostic evaluation and NPH management may have been covered.

As the author is a member of the “NPH advisory board”, the results are in part reflecting both the knowledge and the experiences acquired during the process of "guideline work" made on the basis of a meta-analysis and during the many valuable discussions with the colleagues and with experts during the meetings and consensus conferences. Mainly, aspects of the article relate to the authors own work and findings in the diagnosis and in the pathophysiology of chronic hydrocephalus having performed PET-CBF studies in idiopathic NPH and experimental histological studies in the adult kaolin-hydrocephalus animal model.

The evidence based NPH guidelines should encourage many investigators to continue with seeking an improved medical and scientific evidence in the diagnosis and management of NPH. This, in part, requires the implementation of well-designed prospective clinical trials as currently initiated with the European multicenter study.

Modern functional neuroimaging techniques, however, seem a realistic approach to measuring neuronal and brain function in the hydrocephalic brain and to a more comprehensive understanding of NPH pathophysiology. As this has been achieved, however, a “re-classification” or at least a “re-thinking” of Normal pressure hydrocephalus might become necessary. Finally, basic research should proceed with detailed studies of both degenerative and regenerative processes in the hydrocephalic brain in addition to evaluating the potential value of an adjunct pharmacological and “neuroprotective” treatment for restoring brain function after a "hydrocephalic trauma".

Legends

Figure 1) Imaging of regional brain metabolism and brain function in dementia.
The cartoon should indicate the potential value of brain metabolic and functional imaging for differential diagnosis of idiopathic Normal pressure hydrocephalus. On a reconstructed standard 3D-MRI surface, those regions are marked (shaded areas), which showed a reduced cerebral blood flow in correlation to the clinical impairment before surgery in a H2O-PET-study of patients with idiopathic NPH. Voxel-wise regional blood flow reductions were assessed with the aid of Statistical parametric mapping. As a comparison, the regional patterns of metabolic reductions of the diseases providing a main differential diagnosis to idiopathic NPH are circled based on the available results from the literature (see text).

**Figure 1**

![Cartoon showing brain regions with reduced cerebral blood flow](image)

**Reference List**

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